AUG 14 2006

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Docket No. 4316-092

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

William D. McKAY

Serial No.

10/755,494

**Examiner** 

BALSIS, Shay L.

Filed

January 12, 2004

**Group Art Unit::** 

1744

Patent No.

6,981,291

For

MOTORIZED CLEANING APPARATUS

MAIL STOP PETITION Commissioner for Patents Box 1450 Alexandria, VA 22313-1450

#### **CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

I hereby certify that the attached:

- 1. Renewed Petition under 37 CFR 1.28(c), (2 pages);
- 2. Exhibits A, B; and
- 3. Return Receipt Postcard.

(Along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date indicated below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Mail Stop Petition, Box 1450, Alexandria, VA 22313-1450

Respectfully submitted

GOTTLIEB, RACKMAN & REISMAN, P.C.

Dated: 08.10.2006

Zoya V. Chernina

GOTTLIEB, RACKMAN & REISMAN, P.C.

270 Madison Avenue New York, N.Y. 10016-0601 Phone: (212) 684-3900 Facsimile: (212) 684-3999



Docket No.: 4316-092

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) :

William D. McKAY

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For

MOTORIZED CLEANING APPARATUS

BY FAX: (571) 273-8300

Attention: Office of Petition

Paul Shanoski, Senior Attorney

#### **CONFIRMATION BY MAIL:**

MAIL STOP PETITION Commissioner for Patents Box 1450 Alexandria, VA 22313-1450

### **RENEWED PETITION UNDER 37 C.F.R. 1.28(c)**

Sir:

This Renewed Petition in submitted in response to a Decision issued by the Office of Petition of the US Patent Office on July 11, 2006 on Applicant's request for acceptance of a fee deficiency under 37 C.F.R. 1.28 (c) filed March 20, 2006. Copy of the Decision is attached hereto as Exhibit A.

The above-identified request was dismissed on a basis that Petitioner, i.e.,

Applicant in the above-identified application, has failed to identify the small entity fee which was

actually paid, and when, as required by the portion of the 37 C.F.R. 1.28 (c) (ii)(B).

It is respectfully submitted that the small entity fee of \$ 700.00 was paid to cover the required Issue Fee on October 6, 2005. The copies of the Part B-Fee(s) Transmittal as filed on October 6, 2005 and of the stamped postcard confirming receipt of the payment by the US PTO on October 11, 2005 are attached hereto as Exhibit B.

It is believed that the above statement of the undersigned applicant's attorney as well as the copies of the relevant pages submitted with this Renewed Petition and identifying the amount of the small entity fee actually paid and the specific date of payment satisfy requirements of 37 C.F.R. 1.28 (c).

Accordingly, reconsideration and favorable decision on this Renewed Petition are respectfully requested.

8/10/06

Respectfully submitted GOTTLIEB, RACKMAN & REISMAN, P.C.

Dated:

Jeffrey M. Kaden Attorney for Applicant Registration No. 31,268





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE P.O. Box 1450 ALEXANDRIA, VA 22313-1450

www.uspto.gov

Paper No. None

GOTTLIEB RACKMAN & REISMAN PC 270 MADISON AVENUE 8TH FLOOR NEW YORK NY 10016-0601

COPY MAILED

JUL 1 1 2006

OFFICE OF PETITIONS

In re Application of William D. Mckay et al. Application No. 10/755,494 Patent No. 6,981,291 Filed: January 12, 2004 Issue Date: January 3, 2006

Attorney Docket No. RBD-120-A Title: MOTORIZED CLEANING

APPARATUS

DECISION ON PETITION UNDER 37 C.F.R. §1.28(c)

This is a notice regarding your request for acceptance of a fee deficiency submission under 37 C.F.R §1.28(c).

37 C.F.R. §1.28(c)(2)(ii) sets forth that the party submitting the deficient payment must include:

- (a) Each particular type of fee that was erroneously paid as a small entity, (e.g., basic statutory filing fee, two-month extension of time fee) along with the current fee amount for a non-small entity;
- (b) The small entity fee actually paid, and when;
- (C) The deficiency owed amount (for each fee erroneously paid); and
- (d) The total deficiency payment owed, which is the sum or total of the individual deficiency owed amounts set forth in paragraph (c)(2)(ii)(C) of this section.

Petitioner has submitted the type of fee that was erroneously paid, the deficiency owed for the fee, and the total deficiency payment.

However, Petitioner has failed to identify the small entity fee which was actually paid, or when said fee was paid. Since this portion of the C.F.R. requires these identifications, the request cannot be accepted.

The petition under 37 C.F.R §1.28(c) must be DISMISSED.

Any reply must be submitted within **TWO (2) MONTHS** from the mail date of this decision. Extensions of time under 37 C.F.R. \$1.136(a) are permitted. The reply should include a cover letter entitled "Renewed Petition Under 37 C.F.R. 1.28(c)". This is not a final agency action within the meaning of 5 U.S.C 704.

The renewed petition should indicate in a prominent manner that the attorney handling this matter is Paul Shanoski, and any renewed petition may be submitted by mail<sup>1</sup>, hand-delivery<sup>2</sup>, or facsimile<sup>3</sup>.

Telephone inquiries regarding this decision should be directed to the undersigned at (571) 272-3225. All other inquiries concerning examination procedures or status of the application should be directed to the Technology Center.

Paul Shanoski Senior Attorney Office of Petitions

United States Patent and Trademark Office

<sup>1</sup> Mail Stop Petition, Commissioner for Patents, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

<sup>2</sup> Customer Window, Randolph Building, 401 Dulaney Street, Alexandria, VA, 22314.

<sup>3 (571) 273-8300-</sup> please note this is a central facsimile number.



#### PART B - FEE(S) TRANSMITTAL



Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE **Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax

(571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

indicated unless corrected b maintenance fee notification	elow or directed otherwise s.	in Block 1, by (a)	specifying a	new correspondence address	; and/or (b) indicating a sep	arate "FEE ADDRESS" for	
	E ADDRESS (Note: Use Block 1 for 90 09/21/2005	any change of address)		Fee(s) Transmittal. The papers. Each additions	is certificate cannot be used	or domestic mailings of the for any other accompanying ent or formal drawing, must	
GOTTLIEB RAC 270 MADISON AV 8TH FLOOR NEW YORK, NY		N PC ON B	4 2006	I hereby certify that the States Postal Service	Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (57)/273-2885, on the date indicated below.		
NEW TORK, IVI		PARTE		Hannah Epste	a Joslan	(Depositor's name) (Signature)	
		TRAD	EMAR	October 6,	200 <b>%</b>	(Date)	
APPLICATION NO.	FILING DATE	FIRST NAMED IN		INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/755,494	01/12/2004	William D		). McKay	RBD-120-A	1986	
TITLE OF INVENTION: M	OTORIZED CLEANING A						
APPLN, TYPE	SMALL ENTITY	ISSUE FEE		PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	YES	\$700		\$300	\$1000	12/21/2005	
EXAMINER .		ART UNIT		CLASS-SUBCLASS	]		
BALSIS,	1744		015-023000				
I. Change of correspondence address or indication of "Fee Addres CFR 1.363).  Change of correspondence address (or Change of Correspon Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Cus Number is required.			2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.  1 Jeffrey M. Kaden  2 Gottlieb, Rackman & Reisman, P.C.  3				
3. ASSIGNEE NAME AND	RESIDENCE DATA TO B	E PRINTED ON T	HE PATENT	(print or type)	nee is identified below the	document has been filed for	
recordation as set forth in	37 CFR 3.11. Completion	of this form is NO	Γ a substitute	ear on the patent. If an assign for filing an assignment.	nee is identified below, the	document has been then for	
(A) NAME OF ASSIGNEE			B) RESIDENCE: (CITY and STATE OR COUNTRY)				
The Hartz Mo	ountain Corpora	ition	Secaucu	s, New Jersey			
Please check the appropriate	assignee category or catego	ries (will not be pr	inted on the p	natent): 🔲 Individual 🚨 C	Corporation or other private g	roup entity Government	
4a. The following fee(s) are	enclosed:	4b	. Payment of	* /			
Issue Fee			A check in the amount of the fee(s) is enclosed.				
Publication Fee (No small entity discount permitted)			Payment by credit card. Form PTO-2038 is attached.				
Advance Order - # of Copies5			The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 07-1730 (enclose an extra copy of this form).				
5. Change in Entity Status  a. Applicant claims Si	(from status indicated above MALL ENTITY status. See		b. Applic	cant is no longer claiming SMA	ALL ENTITY status. See 37 (	CFR 1.27(g)(2).	
The Director of the USPTO NOTE: The Issue Fee and P interest as shown by the reco	is requested to apply the Issublication Fee (if required) ords of the United States Pat	ue Fee and Publica will not be accepted ent and Trademark	tion Fee (if and from anyon Office.	ny) or to re-apply any previous e other than the applicant; a reg	ly paid issue fee to the applic gistered attorney or agent; or	cation identified above. the assignee or other party in	
Authorized Signature			Date				
Typed or printed name Jeffrey M. Kaden				Registration	n No. <u>31,268</u>		

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



Date October 6, 2005 Au'y JMK/he
Re: Inventor(s): MCKAY, William
TItle: MOTORIZED CLEANING APPARATUS
Client/Matter (Docket) No. 4316/092 Case No.
S/N 10/755 494 R/N P/N
Title or Description of Enclosures Fee Transmittal; One (1) check for
\$1,015; Certificate of Mailing; and A self-addressed
postcard
The stamp of the Patent Office mailroom hereon indicates receipt of the identified document(s) on the date of such stamp.
Gottlieb, Rackman & Reisman, P.C.

Re: Inventor(s): MCKAY, William

TItle: MOTORIZED CLEANING APPARATUS

Client/Matter (Docket) No. 4316/092

Case No.

S/N 10/755 494

R/N

P/N

Title or Description of Enclosures Fee Transmittal; One (1) check for
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